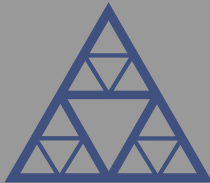


# Mécanique Physique des Matériaux

## Changements de phase



**École des Ponts**

Daniel Weisz-Patrault

Ecole des Ponts

20 Janvier 2020

# Lecture objectives

## What this lecture is not

- Detailed presentation about one well defined topic

## Patchwork

- Overview of several aspects
- Some energetic concepts
- Different scales
- Semi-empirical approaches
- Numerical approaches
- Experiments
- Applications to additive manufacturing

Only scratch the surface !

# Lecture outline

- 1 Forming and fabrication processes
- 2 Grain growth during solidification
- 3 Grain growth during annealing
- 4 Solid-state phase transitions

# Lecture outline

- 1 Forming and fabrication processes
- 2 Grain growth during solidification
- 3 Grain growth during annealing
- 4 Solid-state phase transitions

# Forming and fabrication processes

- **Selected examples**
- Requirements
- Material properties

# Selected examples

## Variety of processes

- Casting
- Machining
- Forging
- Rolling
- Friction Stir Welding
- Welding
- Additive manufacturing

# Selected examples

## Casting



# Selected examples

## Forge





# Selected examples

## Rolling process



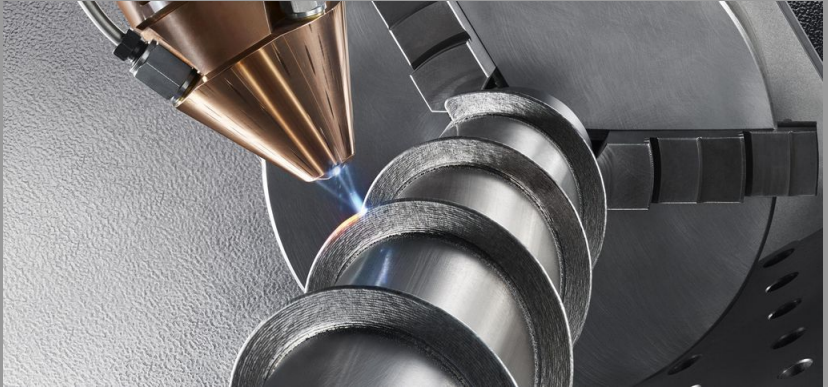
# Selected examples

## Welding



# Selected examples

## Additive manufacturing



# Forming and fabrication processes

- Selected examples
- **Requirements**
- Material properties

# Requirements

- Geometrical tolerances
- Defects
- Porosity
- Roughness
- ...
- **Phase transformations** (this lecture)
- **Residual stresses** (next lecture)

# Forming and fabrication processes

- Selected examples
- Requirements
- **Material properties**

# Material properties

## Microstructures

- Material properties are **not only** a matter of chemical composition
- Microstructure plays a critical role
  - Grain size distribution
  - Grain shape (sphericity) distribution
  - Crystal arrangement distribution (fcc,bcc etc. phases)
  - Crystal orientation distribution
  - Crystal disorientation distribution
  - Diffusion of alloying elements
  - Segregation at grain boundary joints
  - ...

# Material properties

## Microstructure vs overall properties

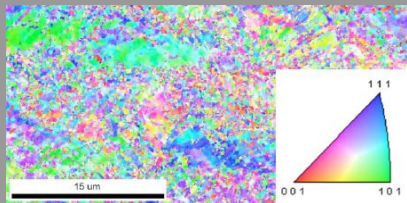
- Anisotropy
- Yield stress
- Hardening behavior
- Hardness
- Ductility
- Toughness
- ...



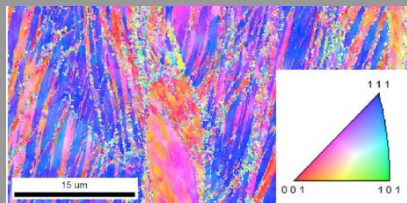
# Material properties

Odnobokova et al. 2014

Forging : 316L

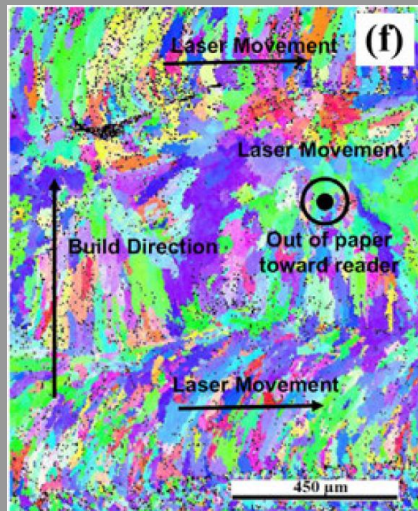


Rolling : 316L



Yadollahi et al. 2015

Additive manufacturing : 316L



# Lecture outline

- 1 Forming and fabrication processes
- 2 Grain growth during solidification**
- 3 Grain growth during annealing
- 4 Solid-state phase transitions

# Grain growth during solidification

- **Basic thermodynamics**
- Surface energy
- Growth rate
- Grain morphology

# Basic thermodynamics

## First law

- $E_T$  : total energy
- $C$  : kinetic energy
- $U$  : internal energy

$$U = E_T - C$$

- **Postulate** : first thermodynamic law

Production of total energy = 0

- Power brought to the system
  - $W_{\text{ext}}$  : Power of external forces
  - $Q$  : Heat (all the rest)
- Hence :

$$\dot{E}_T = \dot{C} + \dot{U} = Q + W_{\text{ext}}$$

# Basic thermodynamics

## First law

- Principle of virtual power

$$W_{\text{int}} + W_{\text{ext}} = W_{\text{acc}}$$

- After simple calculation

$$\dot{C} = W_{\text{acc}}$$

- Internal energy balance

$$\dot{U} = Q - W_{\text{int}}$$

- $-W_{\text{int}}$  can be seen as a production of internal energy.

# Basic thermodynamics

## Second law

- $S$  : entropy
  - number of microscopic arrangements
  - same macroscopic state
- Entropy balance
  - $Q/T$  : entropy brought to the system
  - $P_S$  : entropy production

$$\dot{S} = \frac{Q}{T} + P_S$$

- **Postulate** : second thermodynamic law

Entropy production :  $P_S \geq 0$

# Basic thermodynamics

## Balance

- Internal energy balance

$$\dot{U} = Q - W_{\text{int}}$$

- Entropy balance

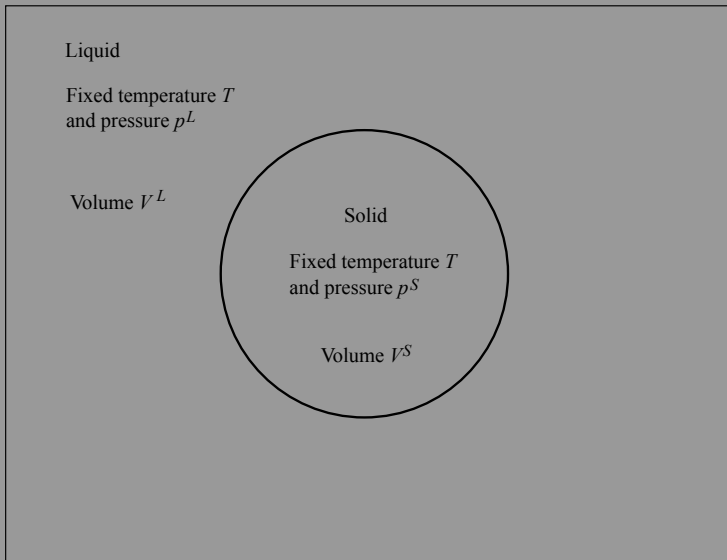
$$T\dot{S} = Q + \underbrace{TP_S}_D$$

- Balance equation

$$-W_{\text{int}} - (\dot{U} - T\dot{S}) = D \geq 0$$

# Basic thermodynamics

## Phase transition liquid/solid





# Basic thermodynamics

## Internal power

- State variables :  $p, V, T$

$$W_{\text{int}} = - \int_V \underline{\underline{\sigma}} : \underline{\underline{\dot{\epsilon}}} dV = - \underline{\underline{\sigma}} : \underline{\underline{\dot{\epsilon}}}$$

- Hydrostatic loading :  $-\underline{\underline{\sigma}} = p\underline{\underline{1}}$
- Volume variation :  $\underline{\underline{1}} : \underline{\underline{\dot{\epsilon}}} = \text{tr}(\underline{\underline{\dot{\epsilon}}}) = \dot{V}/V$
- Hence :

$$W_{\text{int}} = p\dot{V}$$

# Basic thermodynamics

## Phase transition liquid/solid

- Internal energy :  $U = U^S + U^L$
- Entropy :  $S = S^S + S^L$
- Dissipation :  $D = D^S + D^L$
- Volume :  $V = V^S + V^L$
- Balance equation in solid and liquid

$$\begin{cases} \dot{U}^S - T\dot{S}^S + p^S\dot{V}^S = -D^S \leq 0 \\ \dot{U}^L - T\dot{S}^L + p^L\dot{V}^L = -D^L \leq 0 \end{cases}$$

- Balance equation in the mixture

$$\dot{U} - T\dot{S} + p^S\dot{V}^S + p^L\dot{V}^L = -D \leq 0$$

# Basic thermodynamics

## Phase transition liquid/solid

- Free energy :  $E = U - TS$
- Enthalpy :  $H = U + pV$
- Gibbs free energy :  $G = H - TS$ 
  - $G = G^S + G^L$
  - where  $G^S = U^S + p^S V^S - TS^S$  and  $G^L = U^L + p^S V^L - TS^L$
- **Balance equation in the mixture**

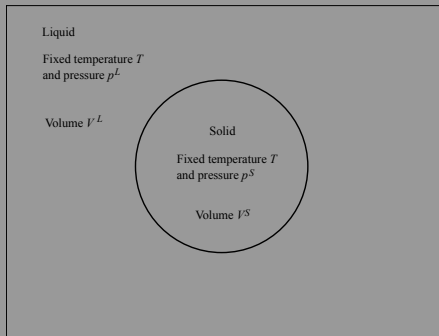
$$\dot{G} = -D \leq 0$$

- $G$  is decreasing during the phase transition
- At the equilibrium  $G$  is minimum

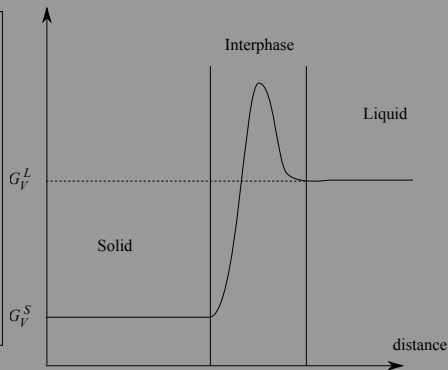
$$\dot{G} = 0$$

# Basic thermodynamics

## Phase transition liquid/solid



Gibbs free energy per unit volume



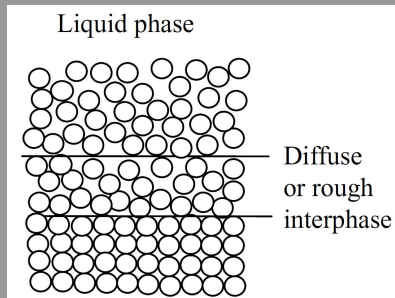
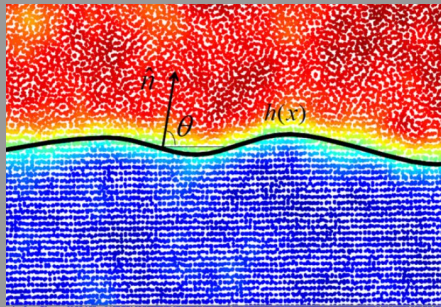
- Driving force :  $\Delta G_V = G_V^L - G_V^S$

# Grain growth during solidification

- Basic thermodynamics
- **Surface energy**
- Growth rate
- Grain morphology

# Surface energy

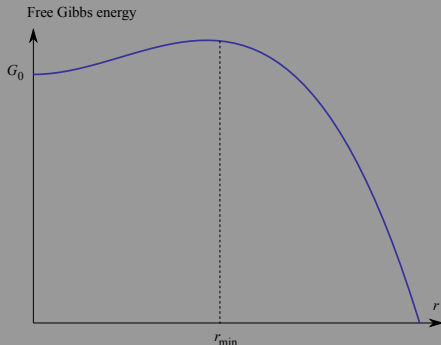
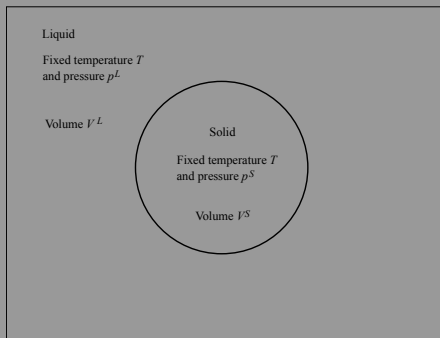
Asadi et al. 2015



- Inter-phase structure
- Energy per unit area  $\gamma$

# Surface energy

## Nucleation size

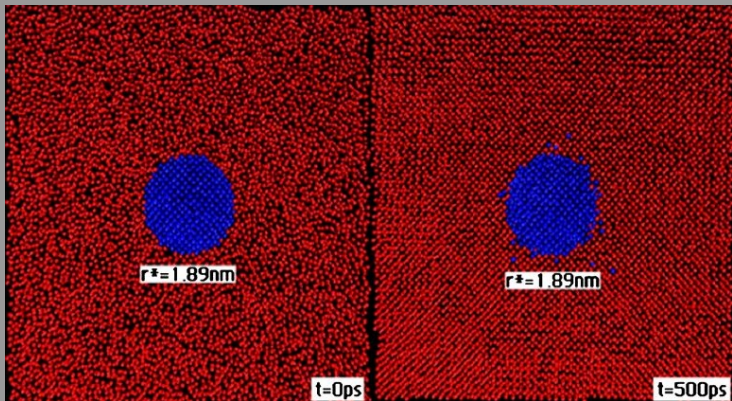


- Free Gibbs energy :  $G - G_0 = -\Delta G_V \frac{4\pi}{3} r^3 + 4\pi r^2 \gamma$
- Minimum nucleation size :  $r_{\min} = \frac{2\gamma}{\Delta G_V}$

# Surface energy

Nucleation size

Liu et al. 2013

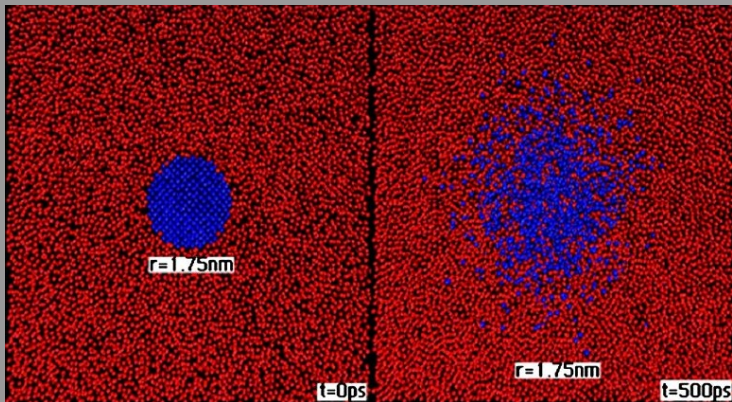




# Surface energy

Nucleation size

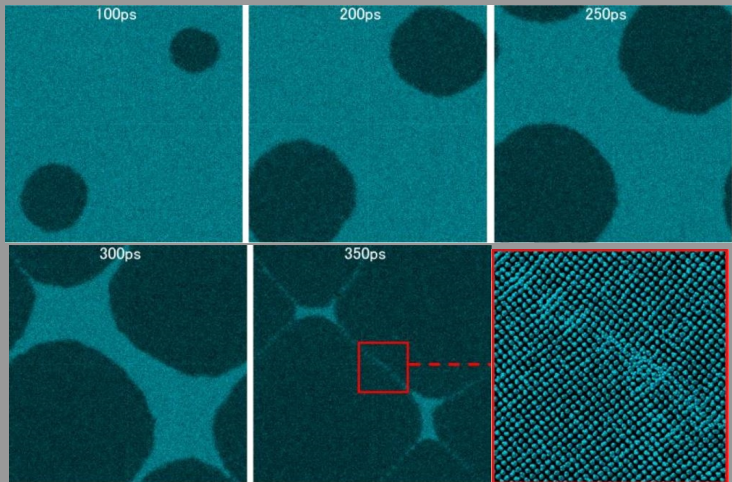
Liu et al. 2013



# Surface energy

## Polycrystals

Shibuta et al. 2014



# Grain growth during solidification

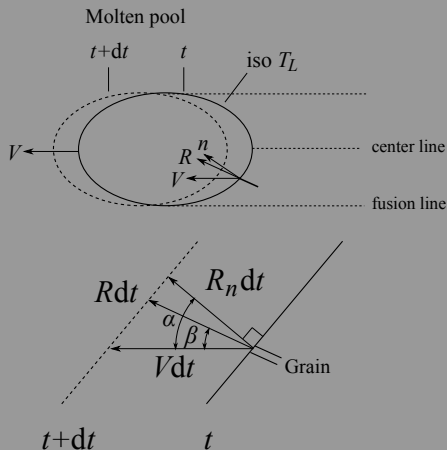
- Basic thermodynamics
- Surface energy
- **Growth rate**
- Grain morphology

# Growth rate

## Phenomenological approach

- $\underline{V}$  : welding/laser speed
- $V = \|\underline{V}\|$  speed norm
- $T$  : temperature
- $\underline{\nabla T}$  : temperature gradient
- $\|\underline{\nabla T}\|$  gradient norm
- $\underline{R}$  : growth speed
- $R = \|\underline{R}\|$  growth rate
- $\alpha$  : angle between  $\underline{V}$  and  $\underline{n}$
- $\beta$  : angle between  $\underline{V}$  and  $\underline{R}$

Kou 2003



# Growth rate

## Phenomenological approach

Kou 2003

- Increment  $dt$

$$V dt \cos(\alpha) = R dt \cos(\alpha - \beta)$$

- Hence

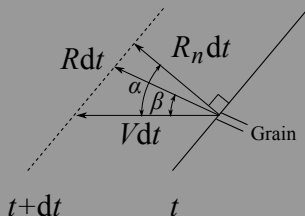
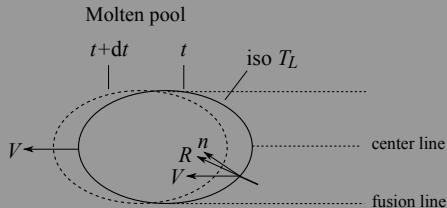
$$R = \frac{V \cos(\alpha)}{\cos(\alpha - \beta)}$$

- Observation

$$\underline{R} \sim \underline{\nabla T}$$

- Hence

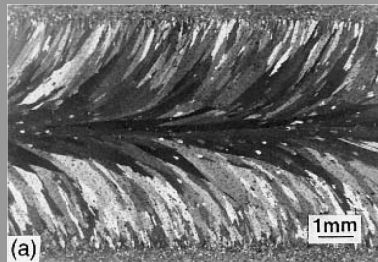
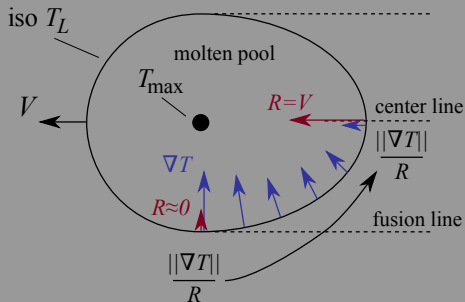
$$\cos(\beta) = \frac{-\frac{\partial T}{\partial x}}{\|\underline{\nabla T}\|}$$



# Growth rate

## Phenomenological approach

Kou 2003

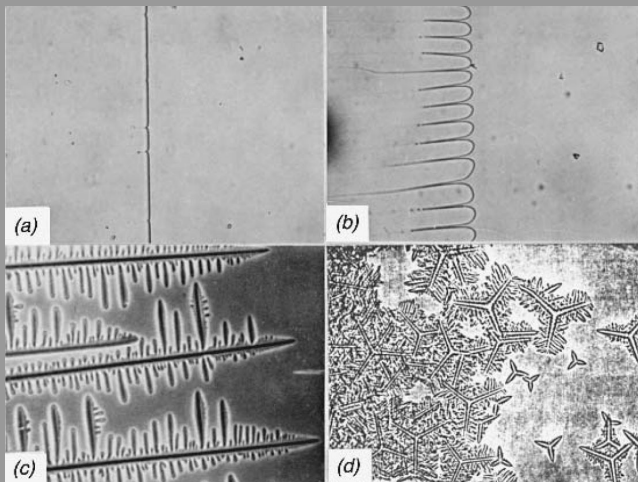


# Grain growth during solidification

- Basic thermodynamics
- Surface energy
- Growth rate
- **Grain morphology**

# Grain morphology

Jackson 1971



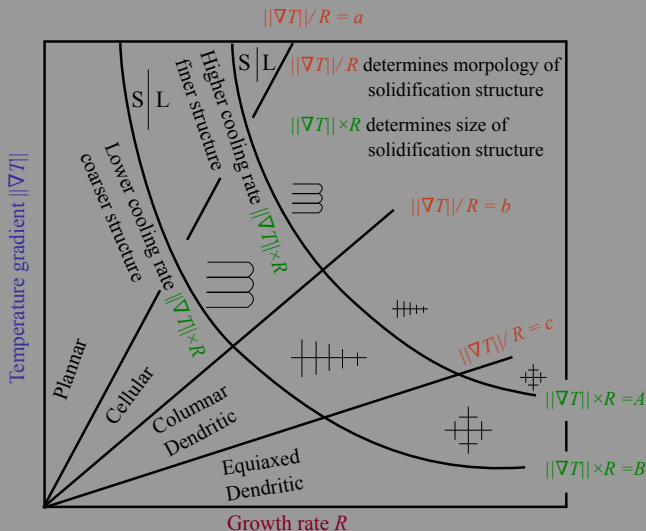
a) Front b) Cellular c) Dendritic d) Equiaxed



# Grain morphology

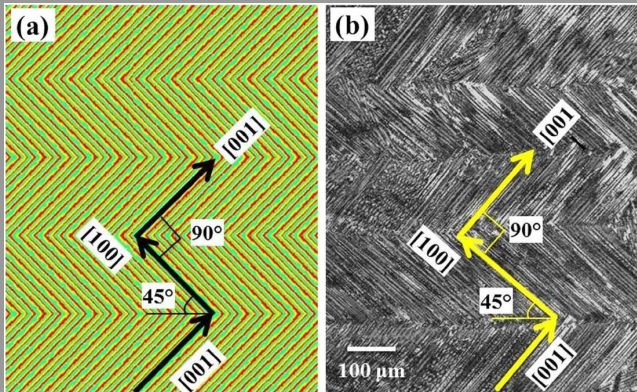
Kou 2003

$\|\nabla T\| \times R$  : cooling rate,  $\|\nabla T\| / R$  : morphology indicator



# Grain morphology

Wei et al. 2015



# Lecture outline

- 1 Forming and fabrication processes
- 2 Grain growth during solidification
- 3 Grain growth during annealing**
- 4 Solid-state phase transitions

# Grain growth during annealing

- **Atomic interactions and energy**
- Crystal
- Disorientation energy
- Classic evolution laws
- Classic models
- Energetic approach

# Atomic interactions and energy

## Inter-atomic potentials

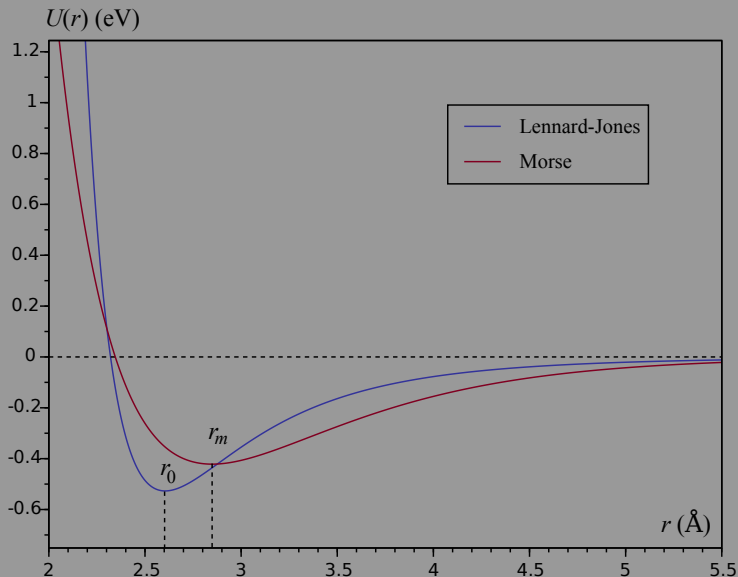
- Simplified physical approach
- Energy as a function of the inter-atomic distance
- Lennard-Jones

$$U(r_{ij}) = \epsilon \left[ \left( \frac{r_0}{r_{ij}} \right)^{12} - 2 \left( \frac{r_0}{r_{ij}} \right)^6 \right]$$

- Morse

$$U(r_{ij}) = D_0 [\exp(-2\alpha(r - r_m)) - 2 \exp(-\alpha(r - r_m))]$$

# Atomic interactions and energy



# Grain growth during annealing

- Atomic interactions and energy
- **Crystal**
- Disorientation energy
- Classic evolution laws
- Classic models
- Energetic approach

# Crystal

- Total potential energy of  $N$  atoms

$$E_{\text{tot}} = \frac{1}{2} \sum_{i=1}^N \sum_{j \neq i} U(r_{ij})$$

- Periodic lattice : energy per atom

$$E_{\text{atom}} = \frac{1}{2} \sum_{j \neq 0} U(r_{0j})$$

- Infinite sum => cube of  $1 \mu\text{m}^3$ .
- Crystal lattice : minimum of  $E_{\text{atom}}$
- Default stack energy

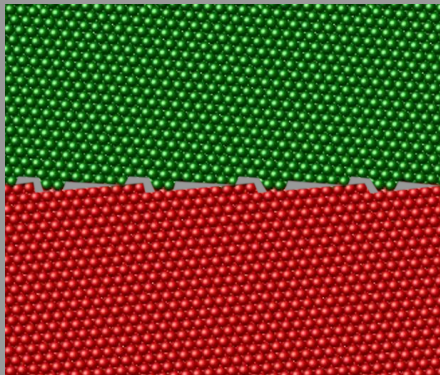


# Grain growth during annealing

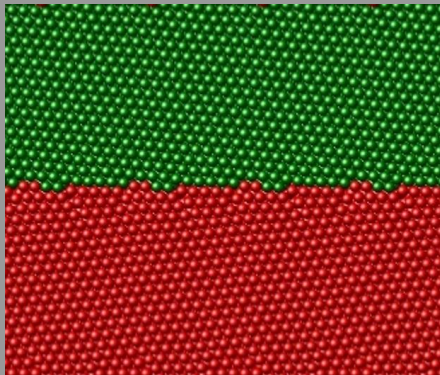
- Atomic interactions and energy
- Crystal
- **Disorientation energy**
- Classic evolution laws
- Classic models
- Energetic approach

# Disorientation energy

Before minimization of  $E_{\text{atom}}$



After minimization of  $E_{\text{atom}}$



- Additional energy with respect to the default stack energy
- Disorientation energy  $\gamma$
- Surface energy

# Disorientation energy

## Classic molecular dynamics

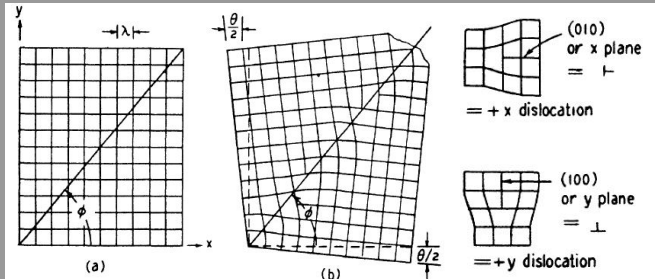
- Dynamic equation
- Energy minimization

# Disorientation energy

## Simplest model : Read & Shockley (1950)

- Dislocation theory
- Analytic solution
- Assumptions : **low disorientation angles**, plane, cubic

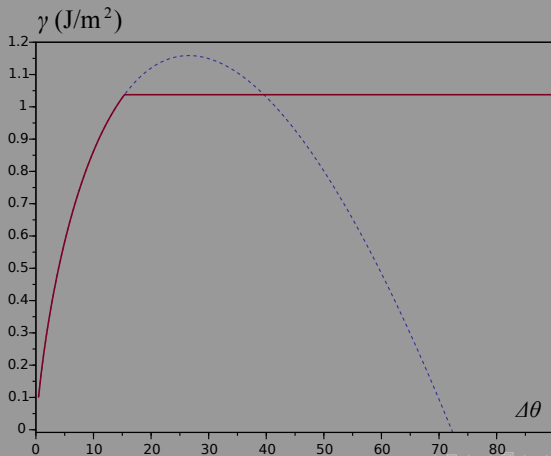
$$\gamma = \gamma_0 \Delta\theta (A - \ln(\Delta\theta))$$



# Disorientation energy

Simplest model : Read & Shockley (1950)

- R & S :  $0 \leq \Delta\theta \leq 15$
- Constant value  $\Delta\theta \geq 15$



# Disorientation energy

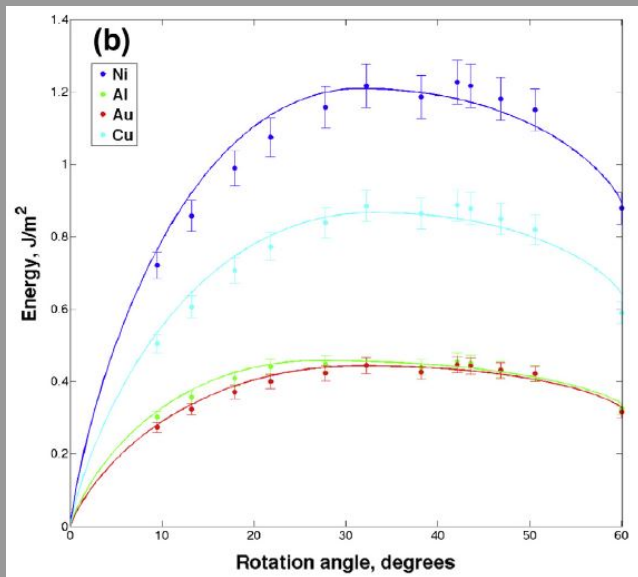
## Simplest model : Wolf (1989)

- Large disorientation angles
- Phenomenological adaptation of R & S

$$\left\{ \begin{array}{l} \gamma = \gamma_0 \sin \left( \frac{\pi}{2} \frac{\Delta\theta - \Delta\theta_m}{\Delta\theta_M - \Delta\theta_m} \right) \left[ 1 - a \ln \left( \sin \left( \frac{\pi}{2} \frac{\Delta\theta - \Delta\theta_m}{\Delta\theta_M - \Delta\theta_m} \right) \right) \right] \\ (\Delta\theta_m \leq \Delta\theta \leq \Delta\theta_M) \end{array} \right.$$

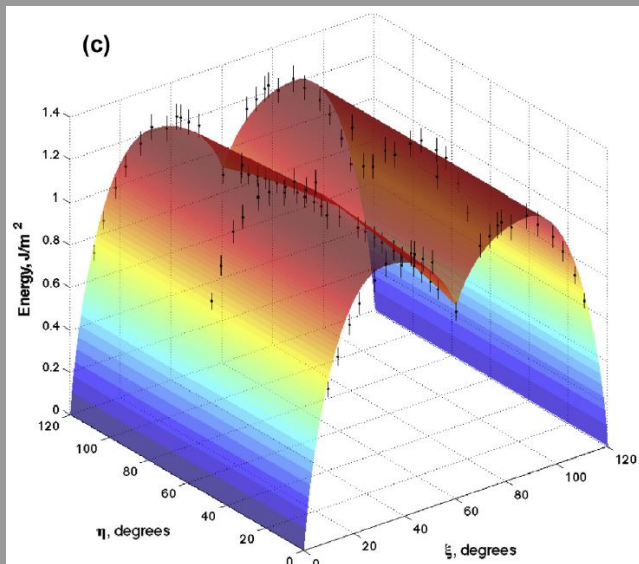
# Disorientation energy

Bulatov et al. 2014



# Disorientation energy

Bulatov et al. 2014





# Grain growth during annealing

- Atomic interactions and energy
- Crystal
- Disorientation energy
- **Classic evolution laws**
- Classic models
- Energetic approach

# Classic evolution laws

## Curvature driven evolution

- Spherical inclusion
- $S$  : grain boundary surface
- $E$  : grain boundary energy  $E = S\gamma$
- State variable : volume  $V$
- Viscous evolution law

$$v = -m \frac{\partial E}{\partial V}$$

- $v$  : outward speed of the grain boundary
- $F = -\partial E / \partial V$  : driving force
- $m$  : grain boundary mobility ( $T, \Delta\theta, \varphi$ )

# Classic evolution laws

## Curvature driven evolution

- Spherical inclusion (radius  $r$ )
- $V = \frac{4\pi}{3}r^3$
- $S = 4\pi r^2$
- $E = S\gamma$

$$E = S\gamma = 4\pi r^2\gamma$$

- Driving force

$$\frac{\partial E}{\partial V} = \frac{\partial r}{\partial V} \frac{\partial E}{\partial r} = \frac{8\pi r\gamma}{\frac{\partial V}{\partial r}} = \frac{2\gamma}{r}$$

- Evolution law

$$v = -m \frac{2\gamma}{r}$$

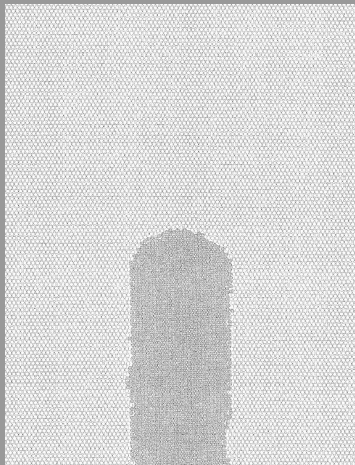
- Extension

$$v = -m\gamma \left( \frac{1}{r_I} + \frac{1}{r_{II}} \right)$$

# Classic evolution laws

Curvature driven evolution

Upmanyu et al. 1999



# Grain growth during annealing

- Atomic interactions and energy
- Crystal
- Disorientation energy
- Classic evolution laws
- **Classic models**
- Energetic approach

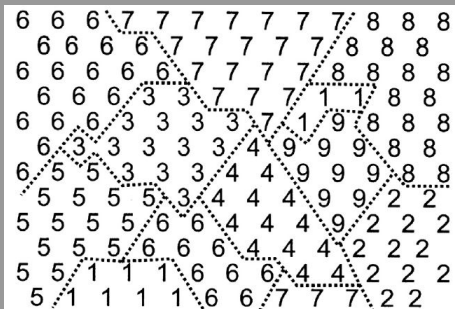
# Classic models

- Potts models
- Moving Finite Element
- Level Set function
- Phase field
- Molecular dynamics

# Classic models

## Potts models : Monte Carlo based

- Image based method : pixels
- Each pixel has attributes
  - Crystal orientation
  - Stored energy
  - ...
- Pixels of same orientation share the same ID



# Classic models

## Potts models : Monte Carlo based

- Grain boundary energy
  - Grain boundaries are **implicit**
  - Interaction energy  $E_I$  between pairs (e.g., 6/7, 7/8)
  - Range of interaction : first, second, third nearest neighboring pixels
- Bulk energy  $H$  (e.g., stored energy of deformation)
- Total energy

$$E_{\text{tot}} = \frac{1}{2} \sum_{i=1}^{N_{\text{pix}}} \sum_{j=1}^{N_{\text{nei}}} E_I(s_i, \tilde{s}_j^i) + \sum_{i=1}^{N_{\text{pix}}} H(s_i)$$

- $N_{\text{pix}}$  : total number of pixels
- $N_{\text{nei}}$  : number of considered neighbors
- $s_i$  : ID of pixel  $i$
- $\tilde{s}_j^i$  : ID of the  $j$ -th neighboring pixel of  $i$



# Classic models

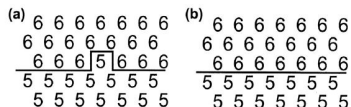
## Potts models : Monte Carlo based

- Evolution : mimic

$$v = -m \frac{\partial E}{\partial V} = -m \frac{2\gamma}{r}$$

- Random substitution of pixels ID
- Acceptance probability

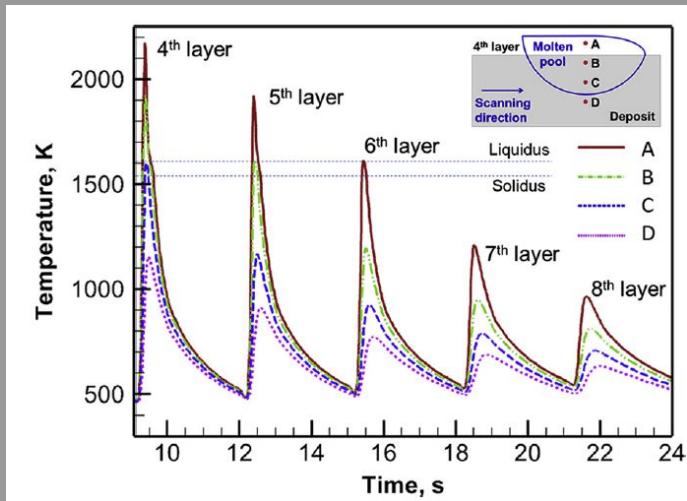
$$P = \begin{cases} \frac{E_I(s_i, \tilde{s}_j^i)}{\max(E_I)} \frac{m(s_i, \tilde{s}_j^i)}{\max(m)} & \Delta E_{\text{tot}} \leq 0 \\ \frac{E_I(s_i, \tilde{s}_j^i)}{\max(E_I)} \frac{m(s_i, \tilde{s}_j^i)}{\max(m)} \exp\left(-\frac{\Delta E_{\text{tot}}}{\max(E_I) k T}\right) & \Delta E_{\text{tot}} > 0 \end{cases}$$



# Classic models

## Application to additive manufacturing

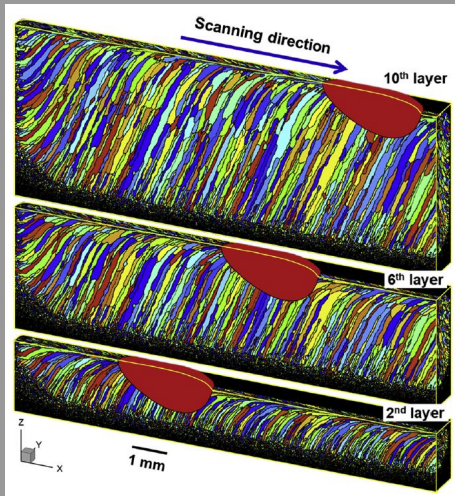
Wei et al. 2019



# Classic models

## Application to additive manufacturing

Wei et al. 2019



# Grain growth during annealing

- Atomic interactions and energy
- Crystal
- Disorientation energy
- Classic evolution laws
- Classic models
- Energetic approach

# Energetic approach

## Most common approach

- Describe the energy
  - Surface energy
  - Default stack energy
- Define state variables and **driving forces**
- Postulate the evolution law

$$v = -m \frac{\partial E}{\partial V}$$

- Dissipated power

$$D = -v \frac{\partial E}{\partial V} \geq 0$$

# Energetic approach

## Dissipative mechanism

- Describe the energy
  - Surface energy
  - Default stack energy
- Define state variables and **driving forces**
- Find a physical **resistive mechanism**
  - Crystal plasticity
  - Dissipated power within any virtual motion
- Infer the evolution law
- Balance equation **for all possible evolutions**

$$\underline{\underline{\sigma}} : \underline{\underline{d}} - \rho \left( \dot{\Psi} + \dot{T}s \right) - \frac{\underline{q} \cdot \nabla T}{T} = D$$

- Automatically verifies thermodynamic laws

# Energetic approach

## Simple example for grain growth

- Grain boundary energy (Wolf)

$$\left\{ \begin{array}{l} \gamma(\Delta\theta) = \gamma_{\frac{\pi}{6}} \sin(3\Delta\theta) [1 - a_1 \ln(\sin(3\Delta\theta))] \\ \left(0 \leq \Delta\theta \leq \frac{\pi}{6}\right) \\ \\ \gamma(\Delta\theta) = \gamma_{\frac{\pi}{6}} \sin(\pi - 3\Delta\theta) [1 - a_2 \ln(\sin(\pi - 3\Delta\theta))] \\ \left(\frac{\pi}{6} \leq \Delta\theta \leq \frac{\pi}{3}\right) \end{array} \right.$$

- Dissipation by crystal plasticity

$$D(T, \Delta\theta, v^*) = \frac{X(\Delta\theta)}{m} [v^*]^2$$

$$X(\Delta\theta) = \frac{6}{\pi} \left( \frac{\pi}{3} + 2\sqrt{3} \ln \left( \frac{\sqrt{3}}{2} \right) \right) \min \left\{ \Delta\theta, \frac{\pi}{3} - \Delta\theta \right\}$$

# Energetic approach



# Lecture outline

- 1 Forming and fabrication processes
- 2 Grain growth during solidification
- 3 Grain growth during annealing
- 4 Solid-state phase transitions**

# Solid-state phase transitions

- **Example**
- Multiscale problem
- Evolution law
- Carbon diffusion
- Macroscopic model
- Application to additive manufacturing

# Example

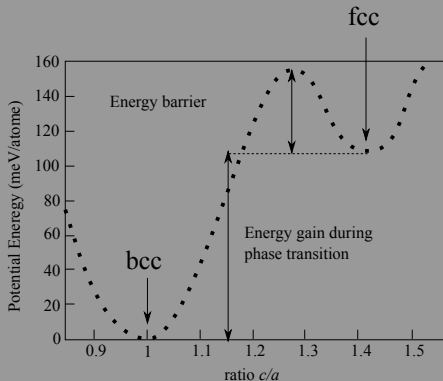
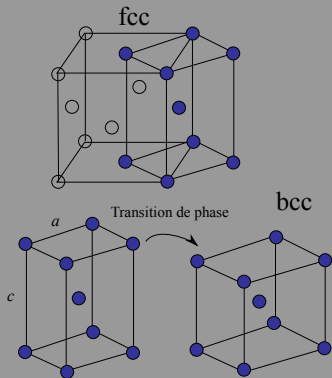
# Solid-state phase transitions

- Example
- **Multiscale problem**
- Evolution law
- Carbon diffusion
- Macroscopic model
- Application to additive manufacturing

# Multiscale problem

Atomic scale

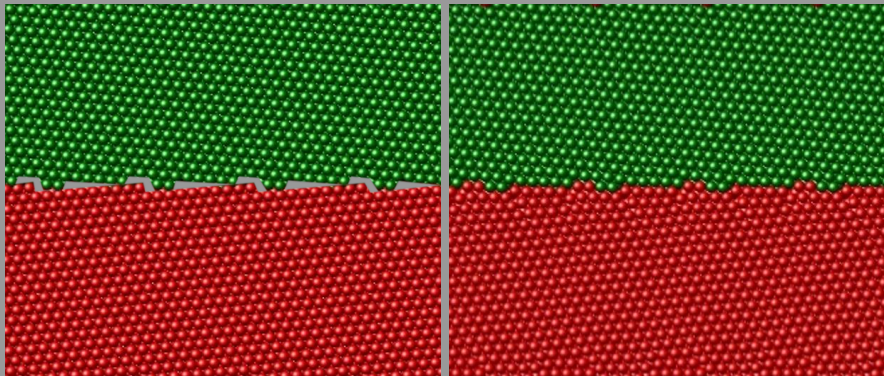
Muller et al. 2004



- Energy per unit volume :  $E_{\text{phase}}$

# Multiscale problem

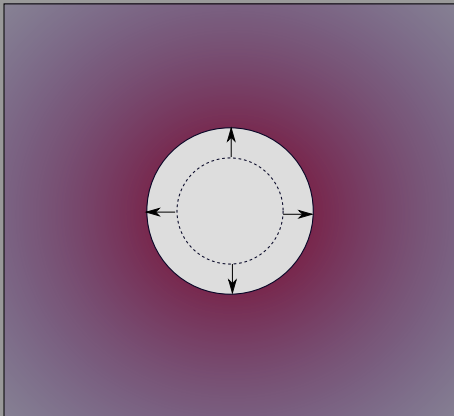
## Microscopic scale



- Energy per unit area :  $\gamma$

# Multiscale problem

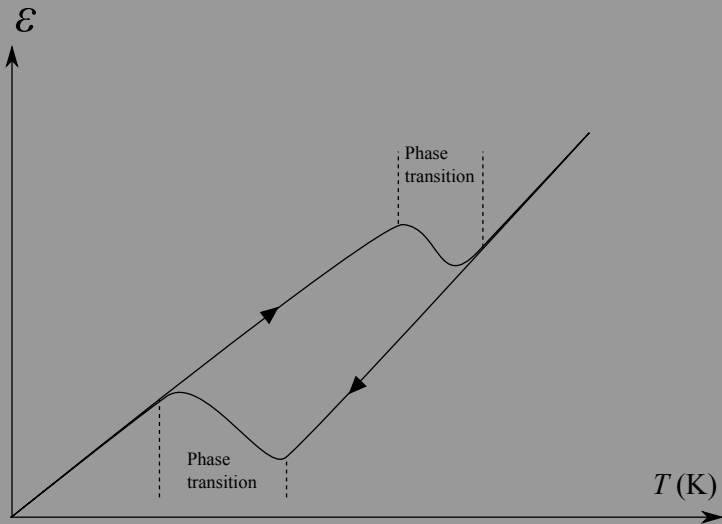
## Mesoscopic scale



- Stored elastic energy  $E_e$

# Multiscale problem

## Macroscopic scale





# Solid-state phase transitions

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# Evolution law

## Mesoscopic evolution

- Define a microstructure
- Define nucleation points (defects)
- Define state variables  $\underline{\phi} = (\phi_1, \dots, \phi_n)$ 
  - Volume of each phase
  - Phase field
  - ...
- Define the total energy  $E_{\text{tot}} = \int_V E_{\text{phase}} dV + \int_S \gamma dS + E_e$
- Define a simple evolution law

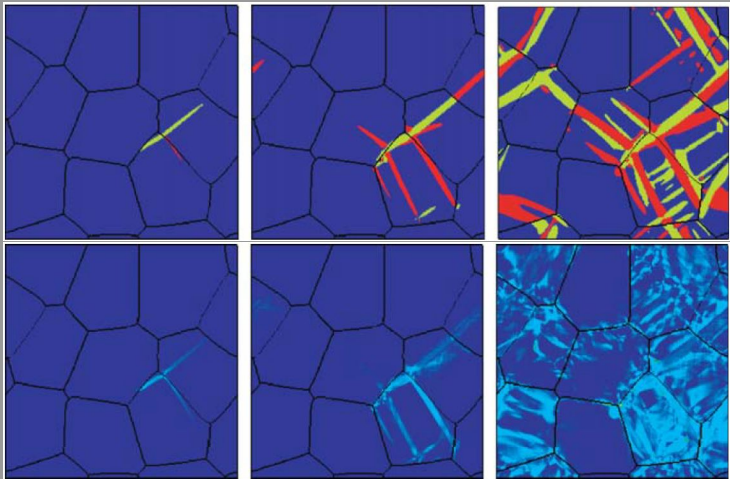
$$\dot{\underline{\phi}} = -\underline{\underline{M}} \cdot \frac{\partial E_{\text{tot}}}{\partial \underline{\phi}}$$

- Find a numerical approach to compute this problem
  - Phase field
  - ...

# Evolution law

## Mesoscopic phase field model

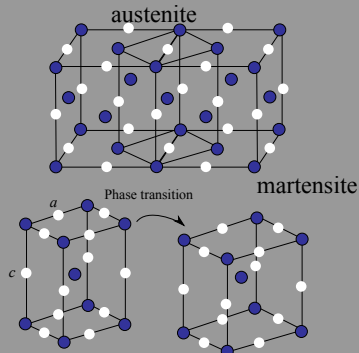
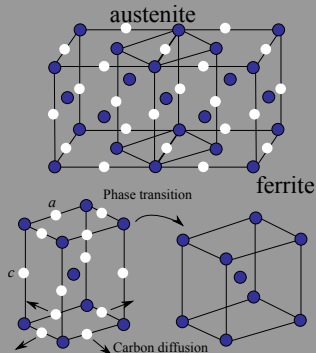
Yamanaka et al. 2010



# Solid-state phase transitions

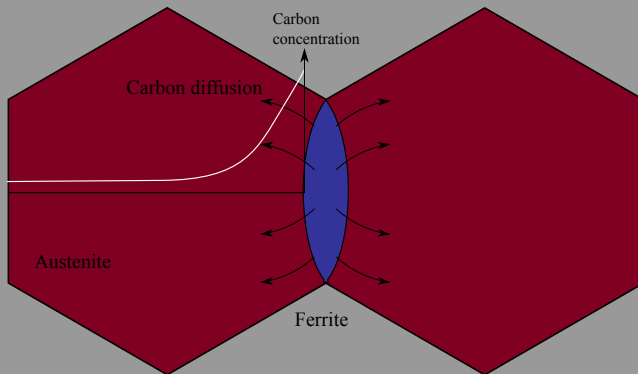
- Example
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# Carbon diffusion



- Austenite fcc
- Ferrite bcc
- Martensite : deformed bcc with carbon
- Carbide precipitates :  $F_3C$

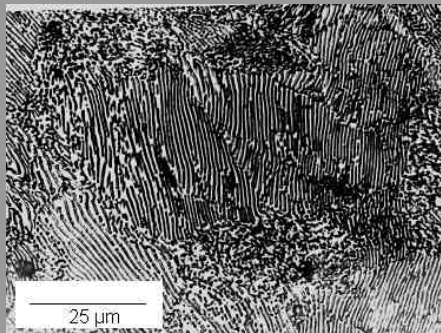
# Carbon diffusion



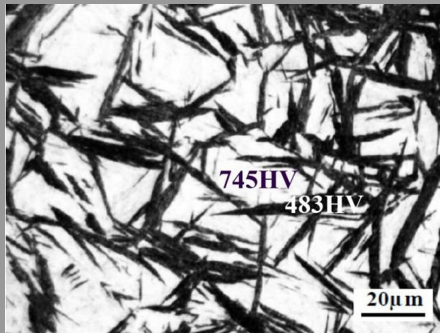
- Good carbon solubility in austenite
- Carbon almost not soluble in ferrite
- Precipitation  $\text{Fe}_3\text{C}$
- Various patterns : Pearlite, Bainite

# Carbon diffusion

## Lamellar pearlite



## Lower bainite



The list is long like **cryptozoology**

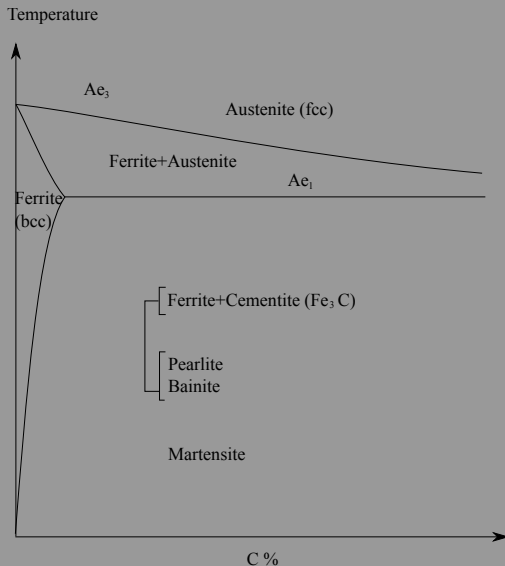
# Solid-state phase transitions

- Example
- Multiscale problem
- Evolution law
- Carbon diffusion
- **Macroscopic model**
- Application to additive manufacturing

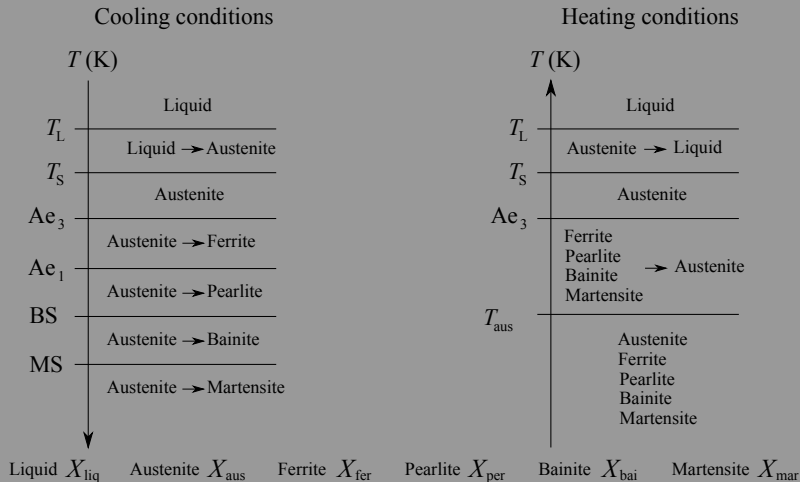


# Macroscopic model

## Phase diagram : equilibrium



# Macroscopic model



# Macroscopic model

## Diffusive phase transitions

- Depends on temperature rate
- Avrami equation

$$\Delta X_{\phi} = X_{\text{aus}} [1 - \exp(-k_{\phi} (t - t_{\phi})^{n_{\phi}})]$$

- $\phi$  = fer, per, bai or aus
- $k_{\phi}$  and  $n_{\phi}$  to be identified experimentally for each grade

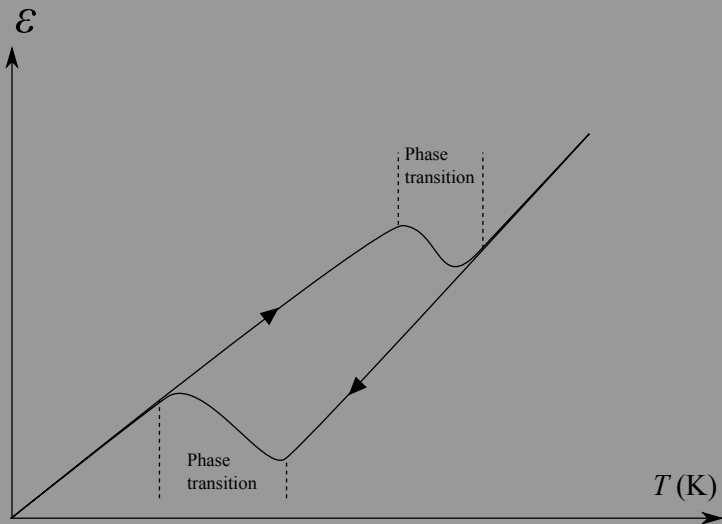
## Martensite

- High cooling rates
- Koistinen-Marburger equation

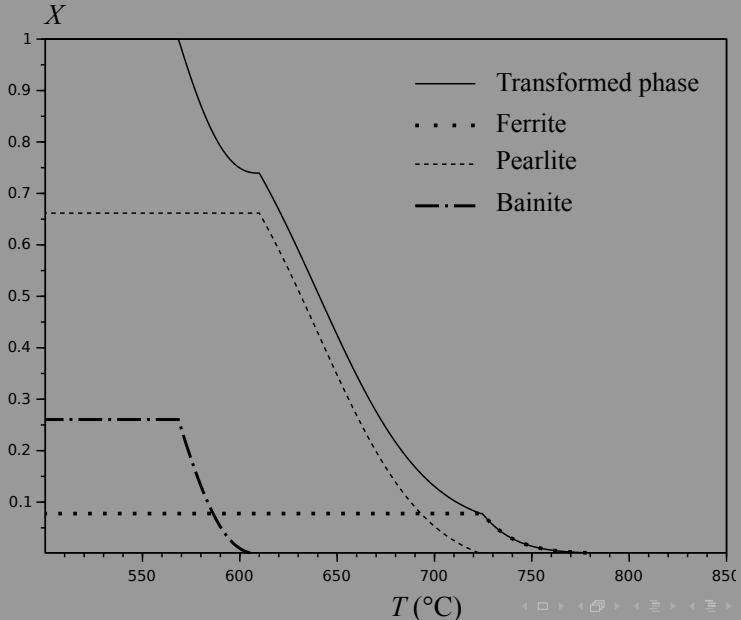
$$\Delta X_{\text{mar}} = X_{\text{aus}} [1 - \exp(\alpha_{\text{MS}} (T - \text{MS}))]$$

# Macroscopic model

Experimental identification : dilatometric test



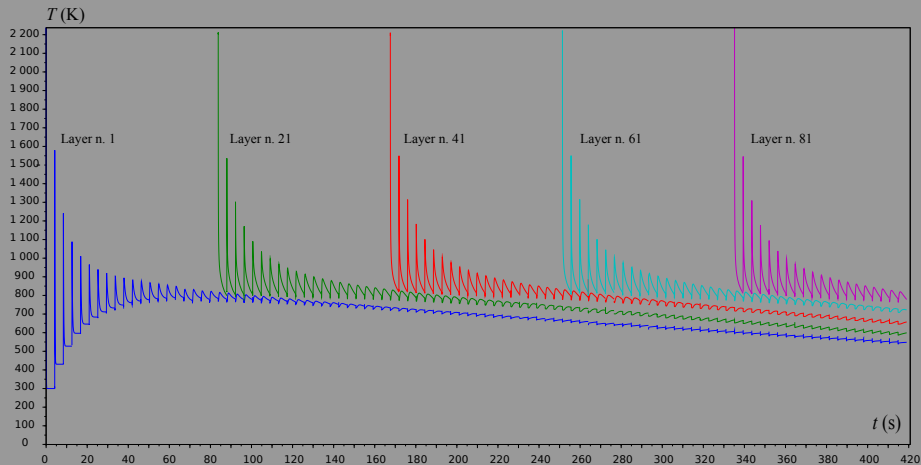
# Macroscopic model



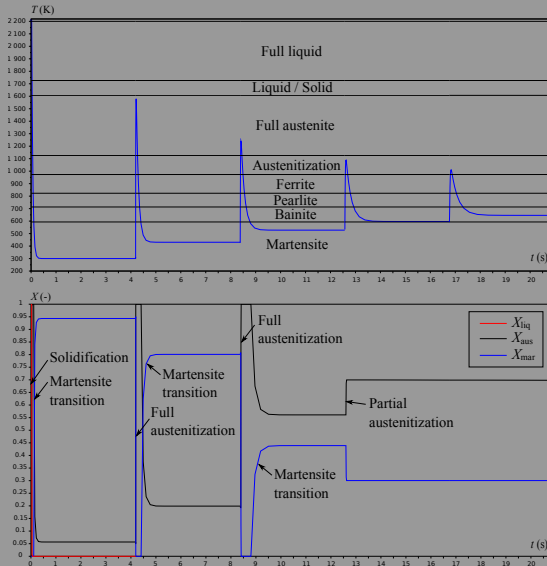
# Solid-state phase transitions

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# Application to additive manufacturing



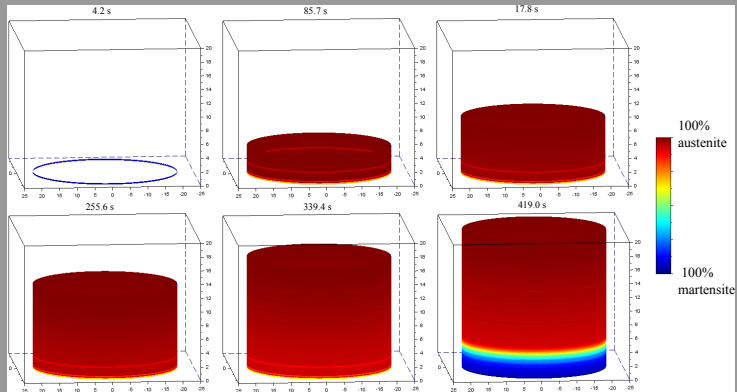
# Application to additive manufacturing



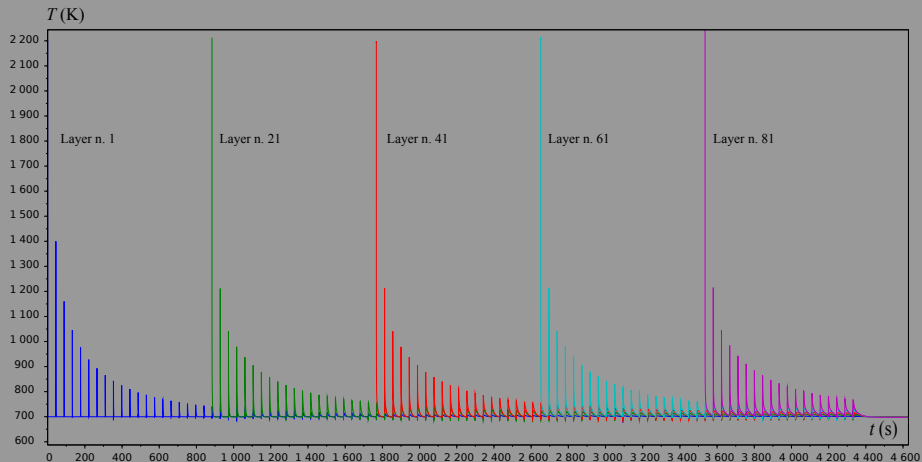


# Application to additive manufacturing

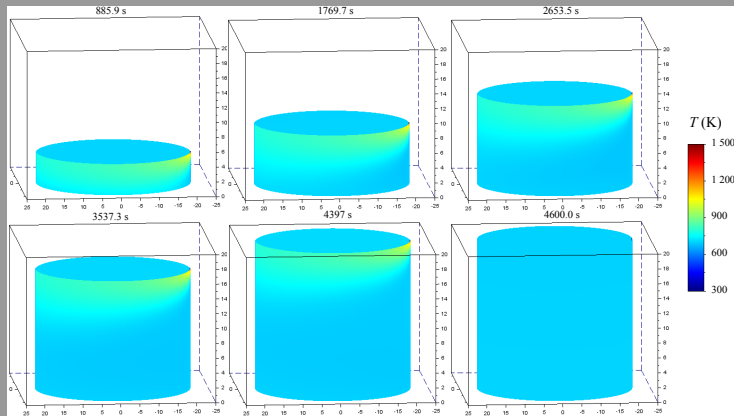
# Application to additive manufacturing



# Application to additive manufacturing



# Application to additive manufacturing



# Application to additive manufacturing

