

# Mécanique Physique des Matériaux

## Contraintes résiduelles



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# Lecture objectives

- Definition and misconception
- Physical origin of residual stresses
- Different scales
- Numerical approaches
- Some energetic concepts
- Experiments
- Applications to additive manufacturing

# Lecture outline

- 1 Forming and fabrication processes
- 2 Continuum mechanics
- 3 Transformation induced plasticity
- 4 Application to additive manufacturing

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- 1 Forming and fabrication processes
- 2 Continuum mechanics
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# Forming and fabrication processes

- **Selected examples**
- Requirements
- Residual stresses

# Selected examples

## Variety of processes

- Casting
- Machining
- Forging
- Rolling
- Friction Stir Welding
- Welding
- Additive manufacturing

# Selected examples

## Casting



# Selected examples

## Forge





# Selected examples

## Rolling process



# Selected examples

## Welding



# Selected examples

## Additive manufacturing



# Forming and fabrication processes

- Selected examples
- **Requirements**
- Residual stresses

# Requirements

- Geometrical tolerances
- Defects
- Porosity
- Roughness
- ...
- **Phase transformations** (past lecture)
- **Residual stresses** (this lecture)

# Forming and fabrication processes

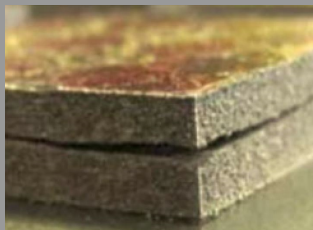
- Selected examples
- Requirements
- **Residual stresses**

# Residual stresses

## A serious issue



Kempen et al. 2013



# Residual stresses



# Residual stresses

A serious issue (most of the time)

Sometimes on purpose

- Tempered glass
- Prestressed concrete
- ...

Predict and control

- Identify the physical origin : lower scale
- Develop a simplified model
- Coupling with thermo-mechanics
- Simulate the entire process

# Lecture outline

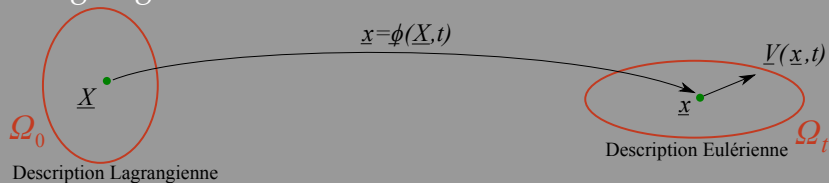
- 1 Forming and fabrication processes
- 2 Continuum mechanics**
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# Continuum mechanics

- **Strain**
- Stress
- Behavior
- Eigenstrain
- Residual stresses
- Navier equation

# Strain

## Lien Lagrangien / Eulérien



- $\underline{\underline{F}} = \underline{\underline{\nabla}}_{\underline{\underline{X}}} \phi$
- $\underline{\underline{dM}} = \underline{\underline{F}} \cdot \underline{\underline{dM}}_0$
- $J = \det [\underline{\underline{F}}]$
- $d\Omega_t = J d\Omega_0$
- $\underline{\underline{C}} = {}^T \underline{\underline{F}} \cdot \underline{\underline{F}}$
- $\underline{\underline{V}} = \frac{\partial \phi}{\partial t}$
- $\underline{\underline{\nabla}}_{\underline{\underline{x}}} \underline{\underline{V}} = \underline{\underline{\dot{F}}} \cdot \underline{\underline{F}}^{-1}$
- $\text{div}_{\underline{\underline{x}}} \underline{\underline{V}} = \dot{J} J^{-1}$
- $\underline{\underline{V}}$
- $\widehat{\underline{\underline{dM}}} = \underline{\underline{\nabla}}_{\underline{\underline{x}}} \underline{\underline{V}} \cdot \underline{\underline{dM}}$
- $\widehat{\underline{\underline{d\Omega}_t}} = \text{div}_{\underline{\underline{x}}} \underline{\underline{V}} d\Omega_t$

$$\underline{\underline{e}} = \frac{1}{2} [\underline{\underline{C}} - \underline{\underline{1}}]$$

$$\underline{\underline{d}} = {}^T \underline{\underline{F}}^{-1} \cdot \underline{\underline{\dot{e}}} \cdot \underline{\underline{F}}^{-1}$$

$$\underline{\underline{d}} = \frac{1}{2} [\underline{\underline{\nabla}}_{\underline{\underline{x}}} \underline{\underline{V}} + {}^T \underline{\underline{\nabla}}_{\underline{\underline{x}}} \underline{\underline{V}}]$$

# Continuum mechanics

- Strain
- **Stress**
- Behavior
- Eigenstrain
- Residual stresses
- Navier equation

# Stress

## Spaces

- Generalized velocity

$$\mathcal{C} = \{\underline{V} : (\underline{x}, t) \in \Omega_t \times \mathbb{R}_+ \mapsto \underline{V}(\underline{x}, t)\}$$

- Virtual velocity

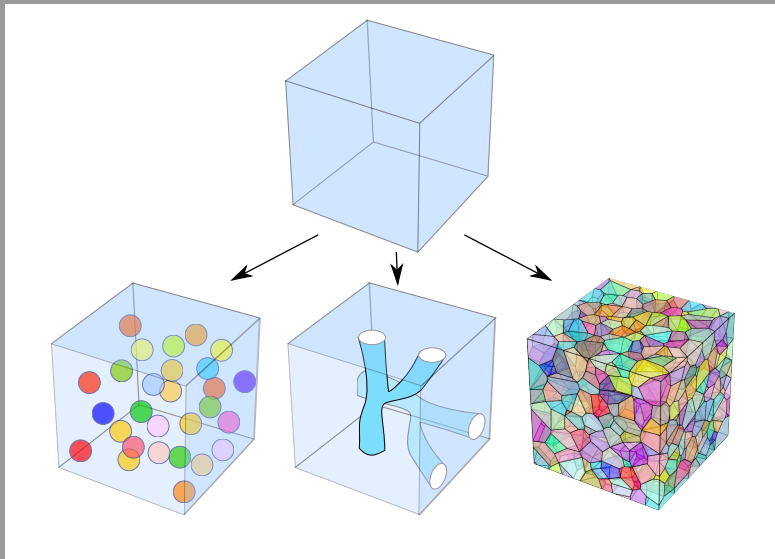
$$\mathcal{C}^* = \{\underline{V}^* : \underline{x} \in \Omega_t \mapsto \underline{V}^*(\underline{x})\}$$

- Rigid body motion

$$\mathcal{C}_R^* = \{\underline{V}_R^* : \underline{x} \in \Omega_t \mapsto \underline{V}_T + \underline{\underline{\omega}} \cdot \underline{x}, \forall \underline{V}_T \in \mathbb{R}^3 / \forall \underline{\underline{\omega}} \in \mathcal{M}_3^{as}\}$$

# Stress

What does the material point represent?



# Stress

## Cauchy

- Power of external forces

$$PVE(\underline{V}^*) = \int_{\Omega_t} \rho \underline{f} \cdot \underline{V}^* d\Omega + \int_{\partial\Omega_t} \underline{T} \cdot \underline{V}^* dS$$

- Power of internal forces

$$PVI(\underline{V}^*) = \int_{\Omega_t} (\underline{E}_0 \cdot \underline{V}^* - \underline{\underline{\sigma}} : \underline{\underline{\nabla}} [\underline{V}^*]) d\Omega$$

- Power of acceleration forces

$$PVA(\underline{V}^*) = \int_{\Omega_t} \rho \underline{\gamma} \cdot \underline{V}^* d\Omega$$

- $\underline{\gamma}$  real acceleration field.



# Stress

## Conditions

- **Consistence** rigid body motion : no internal forces

$$\forall \underline{V}_T \in \mathbb{R}^3 \quad \forall \underline{\underline{\omega}} \in \mathcal{M}_3^{as} \quad / \quad PVI(\underline{V}_T + \underline{\underline{\omega}} \cdot \underline{x}) = 0$$

- Hence

$$\forall \underline{V}_T \in \mathbb{R}^3 \quad \forall \underline{\underline{\omega}} \in \mathcal{M}_3^{as}$$

$$\int_{\Omega_t} (\underline{F}_0 \cdot (\underline{V}_T + \underline{\underline{\omega}} \cdot \underline{x}) - \underline{\underline{\sigma}} : \underline{\underline{\omega}}) \, d\Omega = 0$$

- Hence

$$\underline{F}_0 = 0 \quad \text{et} \quad \int_{\Omega_t} \underline{\underline{\sigma}} : \underline{\underline{\omega}} \, d\Omega = 0$$

- Anti-symmetric/symmetric tensors

$$\underline{F}_0 = 0 \quad \text{et} \quad \underline{\underline{\sigma}} \in \mathcal{M}_3^s$$

# Stress

Postulate : principle of virtual power

- Stress  $\underline{\underline{\sigma}}$  is symmetric, hence

$$\underline{\underline{d}}^*(\underline{\underline{V}}^*) = \frac{1}{2} (\underline{\underline{\nabla}}[\underline{\underline{V}}^*] + {}^t\underline{\underline{\nabla}}[\underline{\underline{V}}^*])$$

- Power of internal forces

$$PVI(\underline{\underline{V}}^*) = - \int_{\Omega_t} \underline{\underline{\sigma}} : \underline{\underline{d}}^*(\underline{\underline{V}}^*) \, d\Omega$$

- Principle of virtual power

$$\forall \underline{\underline{V}}^* \in \mathcal{C}^* \quad PVI(\underline{\underline{V}}^*) + PVE(\underline{\underline{V}}^*) = PVA(\underline{\underline{V}}^*)$$

# Continuum mechanics

- Strain
- Stress
- **Behavior**
- Eigenstrain
- Residual stresses
- Navier equation

# Behavior

## Energetic approach

- Balance equation **for all possible evolutions**

$$\underline{\underline{\sigma}} : \underline{\underline{d}} - \rho (\dot{\Psi} + \dot{T}s) - \frac{\underline{q} \cdot \nabla T}{T} = D$$

- Free energy  $\rho\Psi$
- Example : isothermal elasticity

$$\underline{\underline{\sigma}} : \underline{\underline{d}} = \rho\dot{\Psi}$$

# Behavior

## Isothermal elasticity

- We have for all possible  $\underline{\underline{\dot{e}}}$

$$\left( \underline{\underline{F}}^{-1} \cdot \underline{\underline{\sigma}} \cdot \left[ \underline{\underline{F}}^{-1} \right]^T \right) : \underline{\underline{\dot{e}}} = \rho \text{sym} \left[ \frac{\partial \Psi}{\partial \underline{\underline{e}}} \right] : \underline{\underline{\dot{e}}}$$

- Hence

$$\underline{\underline{\sigma}} = \rho \underline{\underline{F}} \cdot \text{sym} \left[ \frac{\partial \Psi}{\partial \underline{\underline{e}}} \right] \cdot \underline{\underline{F}}^T$$

- Neo-Hookean :  $\rho \Psi(\underline{\underline{e}}) = \frac{\mu}{2} (\bar{I}_1 - 3) + \frac{\lambda}{2} (J - 1)^2$
- $J = \det(\underline{\underline{F}})$ ,  $I_1 = \text{tr} [\underline{\underline{C}}]$ ,  $\bar{I}_1 = J^{-2/3} I_1$

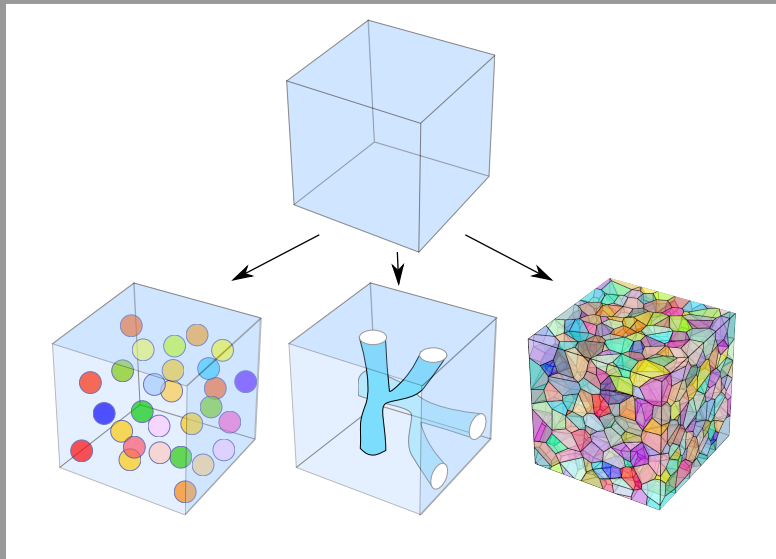
$$\underline{\underline{\sigma}} = \frac{\mu}{J^{5/3}} \underline{\underline{F}} \cdot \underline{\underline{F}}^T + \left( k(J - 1) - \frac{\mu}{J^{5/3}} \frac{\text{tr}(\underline{\underline{F}} \cdot \underline{\underline{F}}^T)}{3} \right) \underline{\underline{1}}$$

# Continuum mechanics

- Strain
- Stress
- Behavior
- **Eigenstrain**
- Residual stresses
- Navier equation

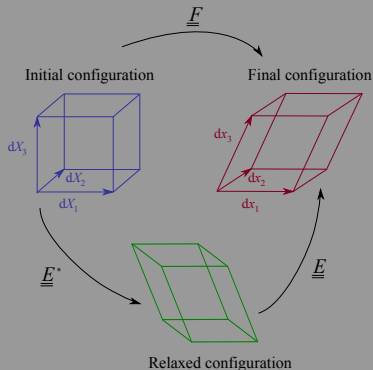
# Eigenstrain

Phenomena arising at a scale you did not model ?



# Eigenstrain

## Multiplicative decomposition



- $\underline{\underline{F}}$  : transformation gradient,  $\underline{\underline{E}}$  elastic tensor,  $\underline{\underline{E}}^*$  eigenstrain

$$\underline{\underline{F}} = \underline{\underline{E}} \cdot \underline{\underline{E}}^*$$



# Eigenstrain

## Transformation gradient

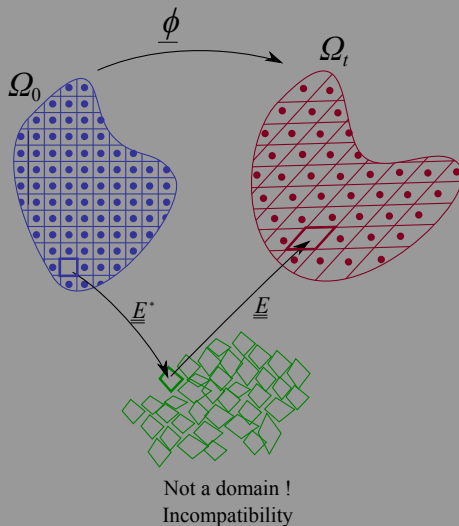
- $\underline{\underline{F}} = \underline{\underline{\nabla}}\phi$
- $\underline{\underline{E}}^*$  **incompatible** not associated to any transformation
- $\underline{\underline{E}}$  **incompatible** not associated to any transformation

## Relaxed configuration

- $\Omega_0$  initial domain
- $\Omega_t$  current domain
- There is no relaxed domain
- **Relaxed configuration** only defined at each material points

# Eigenstrain

## Transformation gradient



# Eigenstrain

## Examples

- Thermal expansion  $\underline{\underline{E}}^* = \underline{\underline{1}} + \underline{\underline{\alpha}}\Delta T$
- Volume variation (phase transition)  $\underline{\underline{E}}^* = \lambda\underline{\underline{1}}$
- Transformation induced plasticity
- Hygrometry (i.e., moisture content in wood)
- ...

# Continuum mechanics

- Strain
- Stress
- Behavior
- Eigenstrain
- **Residual stresses**
- Navier equation

# Residual stresses

## Misleading concept

- Eigenstrain  $\underline{\underline{E}}^*$
- $\underline{\underline{F}} = \underline{\underline{E}} \cdot \underline{\underline{E}}^*$
- $\underline{\underline{\sigma}} \sim \underline{\underline{E}}^T \cdot \underline{\underline{E}}$

$$\underline{\underline{\sigma}} = \frac{\mu}{J^{\frac{5}{3}}} \underline{\underline{E}} \cdot \underline{\underline{E}}^T + \left( k(J-1) - \frac{\mu}{J^{\frac{5}{3}}} \frac{\text{tr}(\underline{\underline{E}} \cdot \underline{\underline{E}}^T)}{3} \right) \underline{\underline{1}}$$

- **What does mean** residual stress relaxation ?
  - Boundary conditions have changed (e.g., cuts)  $\Rightarrow$  distortions
  - Eigenstrain evolution (e.g., grain growth etc.)

# Residual stresses

## Linearization

- Eigenstrain  $\underline{\underline{\epsilon}}^*$
- $\underline{\underline{\epsilon}} = \underline{\underline{\epsilon}}^e + \underline{\underline{\epsilon}}^*$
- $\underline{\underline{\sigma}} \sim \underline{\underline{\epsilon}}^e$

$$\underline{\underline{\sigma}} = \underline{\underline{\underline{C}}} : \underline{\underline{\epsilon}}^e = \underline{\underline{\underline{C}}} : (\underline{\underline{\epsilon}} - \underline{\underline{\epsilon}}^*)$$

- **Residual stresses** due to elastic accommodation of the eigenstrain

# Continuum mechanics

- Strain
- Stress
- Behavior
- Eigenstrain
- Residual stresses
- Navier equation

# Navier equation

## Strong equation set

- Equilibrium

$$\operatorname{div} [\underline{\underline{\sigma}}] = 0$$

- Compatibility

$$\underline{\underline{\varepsilon}} = \frac{1}{2} (\underline{\underline{\nabla}}u + \underline{\underline{\nabla}}u^T)$$

- Behavior

$$\underline{\underline{\sigma}} = \underline{\underline{C}} : \underline{\underline{\varepsilon}}^e = \underline{\underline{C}} : (\underline{\underline{\varepsilon}} - \underline{\underline{\varepsilon}}^*)$$

- Isotropic behavior

$$\underline{\underline{\sigma}} = \lambda \operatorname{tr} [\underline{\underline{\varepsilon}}^e] \underline{\underline{1}} + 2\mu \underline{\underline{\varepsilon}}^e$$

- Boundary conditions

$$\begin{cases} \forall \underline{x} \in \partial\Omega_T, \underline{\underline{\sigma}}(\underline{x}) \cdot \underline{n}(\underline{x}) = \underline{T}(\underline{x}) \\ \forall \underline{x} \in \partial\Omega_u, \underline{u}(\underline{x}) = \underline{u}^d(\underline{x}) \end{cases}$$



# Navier equation

## Strong equation set

- Equilibrium

$$\operatorname{div} \left[ \lambda \operatorname{tr} \left[ \underline{\underline{\varepsilon}}^e \right] \underline{\underline{1}} + 2\mu \underline{\underline{\varepsilon}}^e \right] = 0$$

- Eigenstrain as a right side term

$$\operatorname{div} \left[ \lambda \operatorname{tr} \left[ \underline{\underline{\varepsilon}} \right] \underline{\underline{1}} + 2\mu \underline{\underline{\varepsilon}} \right] = \operatorname{div} \left[ \lambda \operatorname{tr} \left[ \underline{\underline{\varepsilon}}^* \right] \underline{\underline{1}} + 2\mu \underline{\underline{\varepsilon}}^* \right]$$

- Navier equation

$$\Delta \underline{u} + \frac{\lambda + \mu}{\mu} \nabla \operatorname{div} \underline{u} = \operatorname{div} \left[ \lambda \operatorname{tr} \left[ \underline{\underline{\varepsilon}}^* \right] \underline{\underline{1}} + 2\mu \underline{\underline{\varepsilon}}^* \right]$$

- Boundary conditions

$$\begin{cases} \forall \underline{x} \in \partial\Omega_T, \underline{\underline{\sigma}}(\underline{x}) \cdot \underline{n}(\underline{x}) = \underline{T}(\underline{x}) \\ \forall \underline{x} \in \partial\Omega_u, \underline{u}(\underline{x}) = \underline{u}^d(\underline{x}) \end{cases}$$

# Navier equation

## Eigenstrain : physical mechanisms

- Thermal expansion
- Volume variation (phase transition)
- Transformation induced plasticity
- Hygrometry (i.e., moisture content in wood)
- ...

## Residual stresses : misleading concept

- Indirectly related to internal mechanisms
- Necessitates additional computation
- Depends on boundary conditions

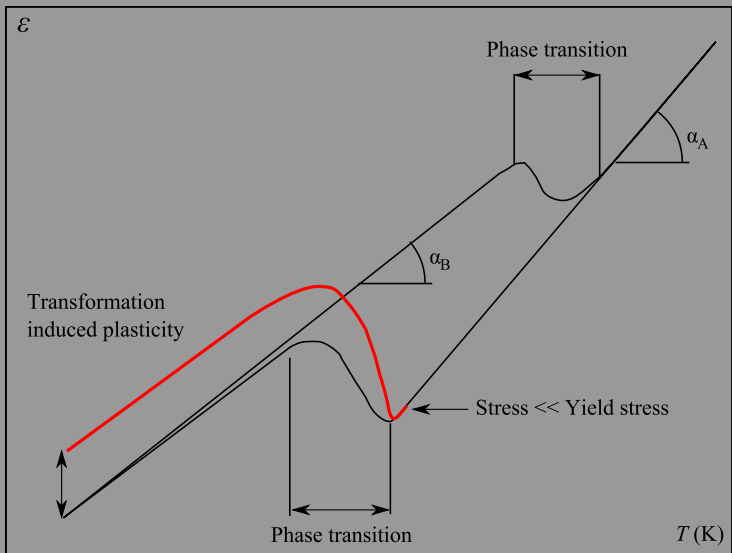
# Lecture outline

- 1 Forming and fabrication processes
- 2 Continuum mechanics
- 3 Transformation induced plasticity**
- 4 Application to additive manufacturing

# Transformation induced plasticity

- **Experimental evidence**
- Lower scale mechanisms
- Simple modeling
- Experimental validation

# Experimental evidence

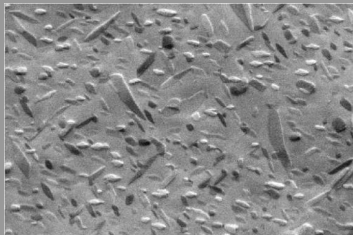


# Transformation induced plasticity

- Experimental evidence
- **Lower scale mechanisms**
- Simple modeling
- Experimental validation

# Lower scale mechanims

## Microscale



- Local plasticity
- Geometrical mismatch
- Plastic flow
- Preferential orientations

## Macroscale



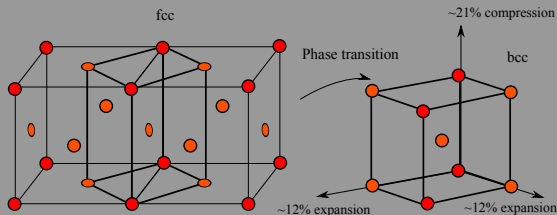
- Volume average
- Residual strain
- Global plasticity
- TRIP

# Transformation induced plasticity

- Experimental evidence
- Lower scale mechanisms
- **Simple modeling**
- Experimental validation



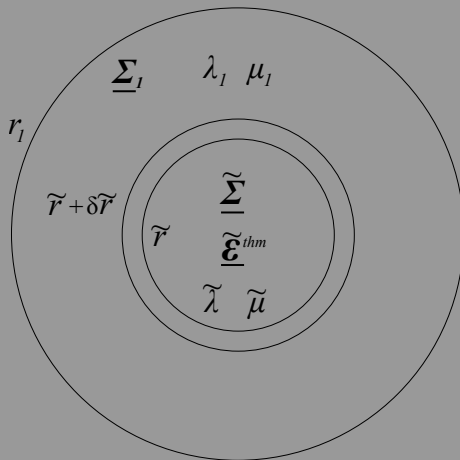
# Simple modeling



## Eigenstrain $\underline{\underline{\varepsilon}}^{thm}$

- Hydrostatic part :  $\underline{\underline{\varepsilon}}^{thm,h} = \frac{\text{tr}(\underline{\underline{\varepsilon}}^{thm})}{3} \underline{\underline{1}}$ 
  - Volume variation, Density mismatch
  - **Not dependent on crystallographic directions**
- Deviatoric part :  $\underline{\underline{\varepsilon}}^{thm,d} = \underline{\underline{\varepsilon}}^{thm} - \frac{\text{tr}(\underline{\underline{\varepsilon}}^{thm})}{3} \underline{\underline{1}}$ 
  - Very large but often neglected
  - Inclusions isotropically orientated
  - **Dependent on crystallographic directions**

# Simple modeling



# Simple modeling

## Transformation induced plastic strain rate

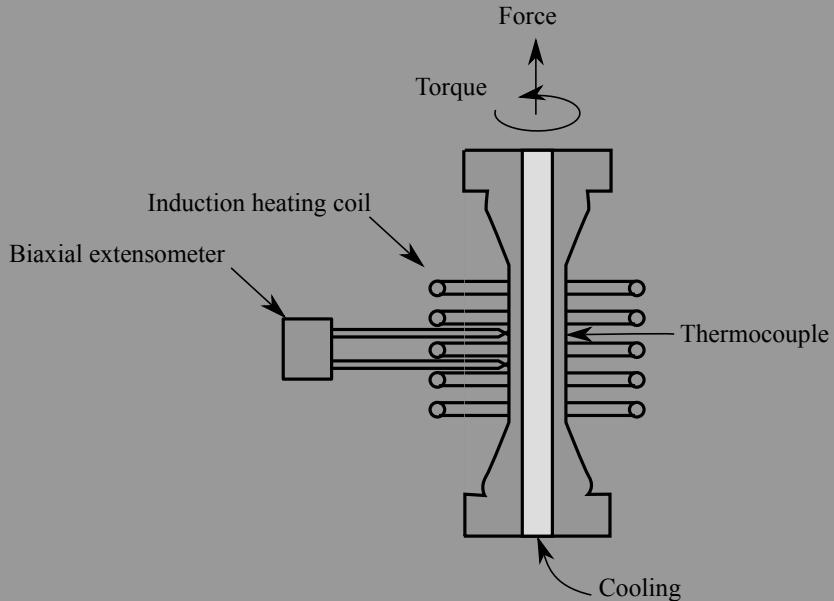
$$\underline{\underline{\dot{E}}}^{tp} = \sum_{p=2}^N \frac{\underline{\underline{S}}_p}{\sigma_p^Y} \frac{\sigma_p^Y - \Sigma_p^{eq}}{\mu_p \xi_p} \dot{X}_p + \begin{cases} 0 & \text{if } |\tilde{\varepsilon}^{thm}| < \frac{\Delta\sigma^Y}{\zeta} \\ -\frac{3|\tilde{\varepsilon}^{thm}|\underline{\underline{S}}_1}{\sigma_1^Y} \ln\left(\frac{\Delta\sigma^Y}{|\tilde{\varepsilon}^{thm}|\zeta}\right) \sum_{\substack{p=2 \\ \dot{X}_p > 0}}^N \dot{X}_p & \text{if } \tilde{X} \leq \frac{\Delta\sigma^Y}{\zeta|\tilde{\varepsilon}^{thm}|} \leq 1 \\ -\frac{3|\tilde{\varepsilon}^{thm}|\underline{\underline{S}}_1}{\sigma_1^Y} \ln(\tilde{X}) \sum_{\substack{p=2 \\ \dot{X}_p > 0}}^N \dot{X}_p & \text{if } \tilde{X} > \frac{\Delta\sigma^Y}{\zeta|\tilde{\varepsilon}^{thm}|} \end{cases}$$

- $X_p$  phase proportion of  $p$ -th phase and  $\tilde{X} = \sum_{p=2}^N X_p$
- $\sigma_p^Y(T)$  yield stress of  $p$ -th phase
- $\underline{\underline{S}}_p$  average stress deviator in the  $p$ -th phase
- $\tilde{\varepsilon}^{thm}$  average volume variation in all product phases
- $\zeta, \xi_p$  material parameters

# Transformation induced plasticity

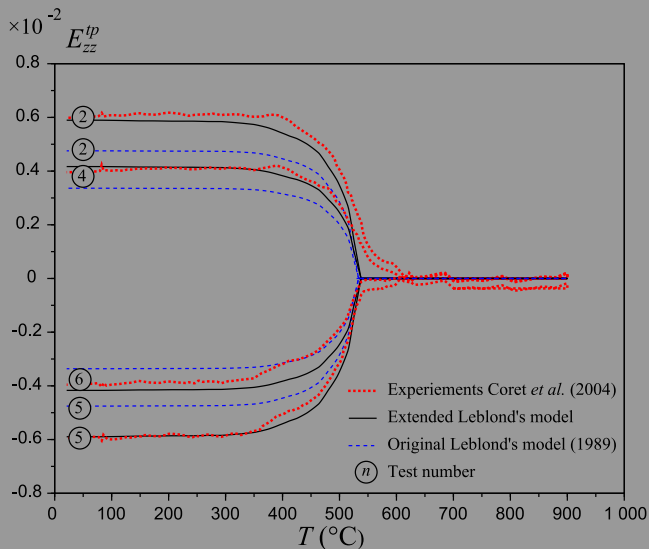
- Experimental evidence
- Lower scale mechanisms
- Simple modeling
- **Experimental validation**

# Experimental validation



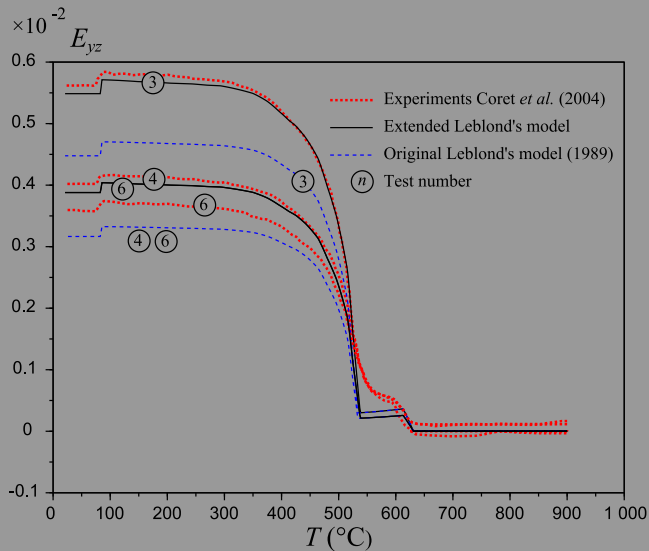
# Experimental validation

## Experimental validation (Coret et al. 2004)



# Experimental validation

## Experimental validation (Coret et al. 2004)



# Lecture outline

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- 4 Application to additive manufacturing**



# Application to additive manufacturing

- **Modeling residual stresses**
- Measuring residual stresses
- Large scale processes

# Modeling residual stresses

## Decoupling

- Thermal analysis of the process coupled with phase transitions

$$\operatorname{div}(\lambda(T)\underline{\nabla}T) - \rho c_p(T) \frac{\partial T}{\partial t} = - \sum_{\phi=1}^{N_\phi} \Delta H_\phi(T) \dot{X}_\phi$$

- **Important** Compute eigenstrain  $\underline{\underline{\varepsilon}}^*$ 
  - Thermal expansion
  - Volume variation due to phase transitions
  - Transformation induced plasticity
- Solve the elastic-plastic mechanical problem

$$\underline{\Delta}u + \frac{\lambda + \mu}{\mu} \underline{\nabla} \operatorname{div} \underline{u} = \operatorname{div} \left[ \lambda \operatorname{tr} \left[ \underline{\underline{\varepsilon}}^* + \underline{\underline{\varepsilon}}^p \right] \underline{\underline{1}} + 2\mu \left( \underline{\underline{\varepsilon}}^* + \underline{\underline{\varepsilon}}^p \right) \right]$$

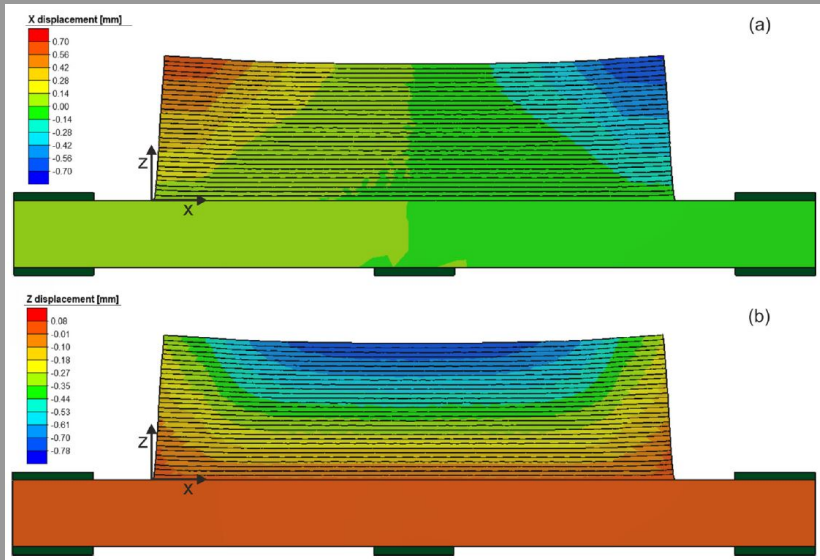
# Modeling residual stresses

## Fully coupled

- Solve simultaneously
  - Thermal analysis
  - Eigenstrain
  - Mechanical problem and displacements
- Computationally costly

# Modeling residual stresses

Biegler et al. 2018

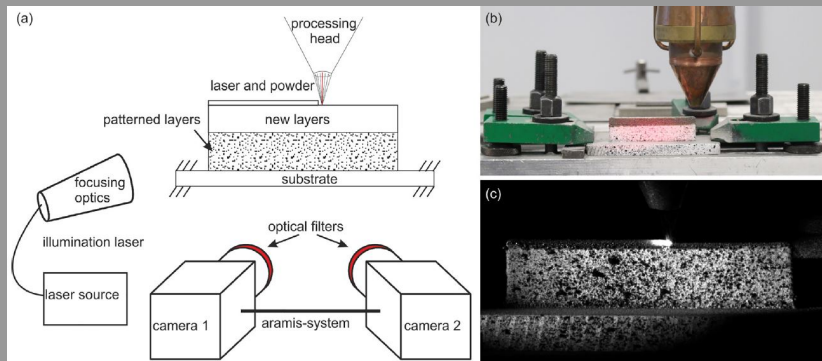


# Application to additive manufacturing

- Modeling residual stresses
- **Measuring residual stresses**
- Large scale processes

# Measuring residual stresses

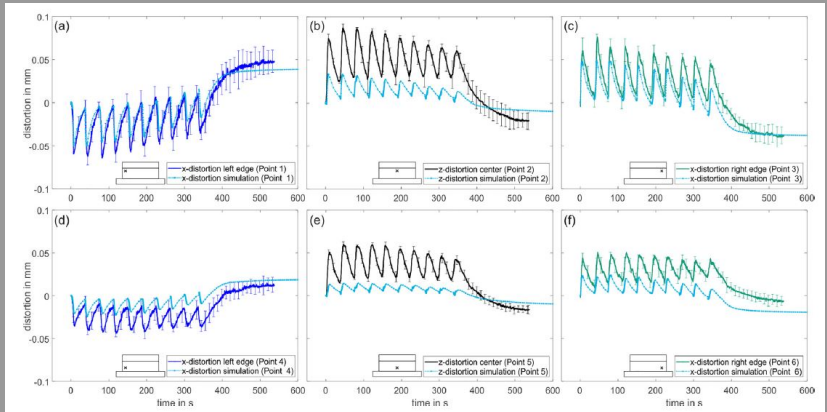
Biegler et al. 2018



- Displacement measurement
- During the process
- After cutting

# Measuring residual stresses

Biegler et al. 2018



# Measuring residual stresses



# Measuring residual stresses

# Measuring residual stresses

## Direct method

- X-Ray Diffraction
- Stresses affect the crystal lattice
- Strain gauge
- Local measurements
  - Several measurement points
  - Average

# Application to additive manufacturing

- Modeling residual stresses
- Measuring residual stresses
- **Large scale processes**

# Large scale processes

## Multiscale problem

- Eigenstrain results from lower scale phenomena
  - Dilatation of the crystal lattice : thermal expansion
  - Chemical reactions in the microstructure
  - Local plastic deformation
  - Fluid flow in the microstructure
  - ...
- Stresses depends on the entire structure
- Reciprocal interactions between scales

**Need for** multiscale approaches with limited computational cost